



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2631
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06-04-03
SI

Applicant(s): Peter Krummrich
Appl. No.: 09/937,294
Conf. No.: 9740
Filed: September 24, 2001
Title: SYSTEM FOR CHANNEL ASSOCIATED DISPERSION COMPENSATION
OF A WAVELENGTH DIVISION MULTIPLEX SIGNAL
Art Unit: 2631
Examiner: Unknown
Docket No.: 112740-277

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Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement in duplicate,
2. Information Disclosure statement – PTO 1449
3. return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as First

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Alexandria, VA 22313-1450

on May 22, 2003.

Respectfully submitted,

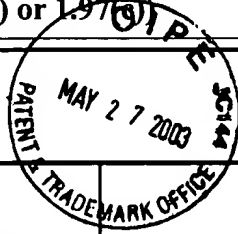
BELL, BOYD & LLOYD LLC

Robert Baccieri

Name of Person Mailing Correspondence

Signature

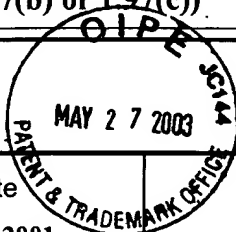
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112740-277	
In Re Application Of: Peter Krummrich				
Serial No. 09/937,294	Filing Date September 24, 2001	Examiner	Group Art Unit 2631	
Title: SYSTEM FOR CHANNEL ASSOCIATED DISPERSION COMPENSATION OF A WAVELENGTH DIVISION MULTIPLEX SIGNAL				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>				
OR				
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>				



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112740-277

In Re Application: Peter Krummrich



Serial No.
09/937,294

Filing Date
September 24, 2001

Examiner

Group Art Unit
2631

**SYSTEM FOR CHANNEL ASSOCIATED DISPERSION COMPENSATION OF A WAVELENGTH
DIVISION MULTIPLEX SIGNAL**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

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*This certificate may only be used if paying by deposit account



Signature

Dated: May 22, 2003

William E. Vaughan (Reg. No. 39,056)
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P. O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4292

CC:



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Applicant: Peter Krummrich
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,430,568	7-4-95	Frymyer et al.
5,642,215	6-24-97	Suzuki et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
DE 196 02 433	7-31-97	Germany
EP 0 884 867	12-16-98	European

German DE 196 02 433 relates to a dispersion compensating circuit arrangement for a multiplexed optical transmission system which has one or more dispersion compensated fibres inserted into a transmission line of a WDM system.

Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



William E. Vaughan

Reg. No. 39,056

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4292

Dated: May 22, 2003



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112740-277	Application No. 09/937,294
Applicant Krummrich	
Filing Date 9-24-01	Group 2631

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,430,568	7-4-95	Frymyer et al.			
	5,642,215	6-24-97	Suzuki et al.			

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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	DE 196 02 433	7-31-97	Germany				
	EP 0 884 867	12-16-98	European				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.